

Docket No.: 1364.1001CIP2/RAG

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Steven SCHERF et al.

Serial No. 09/621,619

Filed: July 21, 2000



Group Art Unit:

Examiner:

2171
K. Ward
11/2/00
#2
Small
Entity
RECEIVED
NOV 17 2000
Technology Center 2100

For: METHOD AND SYSTEM FOR FINDING A MATCH IN DATABASE RELATED
TO WAVEFORMS

SUBMISSION OF VERIFIED STATEMENT CLAIMING SMALL ENTITY

Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

Attached are Verified Statements Claiming Small Entity Status in this application under

- ☒ 37 C.F.R. §§1.9(d) and 1.27(c) by a Small Business Concern.
- ☐ 37 C.F.R. §§1.9(c) and 1.27(b) by an independent inventor.
- ☐ 37 C.F.R. §§1.9(e) and 1.27(d) by a nonprofit organization.

Respectfully submitted,

STAAS & HALSEY LLP

By: Richard A. Gollhofer
Richard A. Gollhofer
Registration No. 31,106

700 Eleventh Street, NW
Suite 500
Washington, D.C. 20001
(202) 434-1500



PATENT

Attorney's Docket No. 1364.1001-CIP2/RAG

Applicant or Patentee: Steven D. SCHERF
Serial or Patent No.: (continuation-in-part of 09/354,164 which is a div. of 08/838,082)
Filed or Issued: (Concurrently)

For: METHOD AND SYSTEM FOR FINDING MATCH IN DATABASE RELATED TO WAVEFORMS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(c)) -- SMALL BUSINESS CONCERN**

RECEIVED

I hereby declare that we are

☐ the owner of the small business concern identified below:

☒ officials of the small business concern empowered to act on behalf of the concern identified below:

NOV 17 2000

Technology Center 2100

NAME OF CONCERN CDDB, INC.
ADDRESS OF CONCERN 6325 Digital Way
Indianapolis, IN 46278

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that all rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled METHOD AND SYSTEM FOR FINDING MATCH IN DATABASE RELATED TO WAVEFORMS

by inventor(s) Steven D. Scherf et al

described in

☐ the specification filed herewith.

☒ application serial no. 09/621,619, filed July 21, 2000.

☐ patent no. _____, issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

***NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).**

NAME

ADDRESS

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME

ADDRESS

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

Nora Doherty

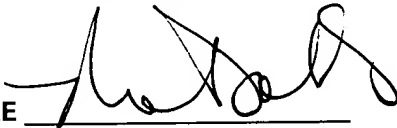
TITLE OF PERSON OTHER THAN OWNER

Vice President

ADDRESS OF PERSON SIGNING

6325 Digital Way, Indianapolis, IN 46278

SIGNATURE



Date:

10/5/2000

S&H 1/93